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| 200 | | Application Number | 10/036,418 | |
|--|----------------|--|---|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Filing Date | January 7, 2002 | |
| | | | | |
| | | First Nam d Inventor | Arron I. VINIK, et al. | |
| | | Group Art Unit | TBA | |
| ADEMIK. | | Examiner Name | 1645 | |
| Total Number of Pages in This Submission | | Attorney Docket Number | 005126.00009 | |
| | ENCL | OSURES (check all that apply) | | |
| Fee Transmittal Form | | ment Papers Application) | After Allowance Communication to Group | |
| Fee Attached | ☐ Drawin | ng(s) | Appeal Communication to Board of Appeals and Interferences | |
| Amendment / Response | Licens | ing-related Papers | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | |
| After Final | Petitio | n | Proprietary Information | |
| Affidavits/declaration(s) | , | n to Convert to a ional Application | Status Letter | |
| Extension of Time Request | | of Attorney, Revocation ge of Correspondence Address | Other Enclosure(s) (please identify below): | |
| Express Abandonment Request | | nal Disclaimer | Joint Declaration (executed) Corrected Application Data Sheet | |
| Information Disclosure Statement | CD, N | umber of CD(s) | Notice of Change of Status | |
| Certified Copy of Priority Document(s) | Rema | arks | | |
| Response to Missing Parts/ Incomplete Application | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | |
| SIGN | ATURE OF | APPLICANT, ATTORNEY, C | OR AGENT | |
| Firm or Individual name | | | | |
| Signature South Oke | | | | |
| Date March 6, 2002 | | | | |
| | CI | RTIFICATE OF MAILING | | |
| | | | stal Service as first class mail in an envelop | |
| addressed to: Assistant Commissioner | for Patents, V | Washington, D.C. 20231 on this d | ate: | |
| Typed or printed name | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Date

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| PEC TO ANOMITTAL | Complete if Known | | | |
|--|----------------------|-----------------------|--|--|
| FEE TRANSMITTAL | Application Number | 10/036,418 | | |
| ′ | Filing Date | January 7, 2002 | | |
| MAR 0 6 2002 🚊 | First Named Inventor | Aaron I. VINIK et al. | | |
| Patent 🖼 s are subject to annual revision. | Examiner Name | ТВА | | |
| Fr. CHET | Group / Art Unit | 1645 | | |
| TOTAL AMOUNT OF PAYMENT (\$) 130.00 | Attorney Docket No. | 005126 00009 | | |

| TOTAL AMOUNT OF FATMENT (b) 100.00 | | | | Andriey Docker No. | | | | |
|--|----------|-----------------------------|----------------------------|--------------------|-----------------|--|-------------|--|
| METHOD OF PAYMENT (check one) | | FEE CALCULATION (continued) | | | | | | |
| 1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: | | 3. ADD | ITIONAL Large Entity | FEES | Small Entity | | | |
| Deposit Account 19-0733 | | Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid | |
| Number | | 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | 130.00 | |
| Deposit | ٦ | 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet. | | |
| Account Banner & Witcoff | | 139 | 130 | 139 | 130 | Non-English specification | | |
| Name ☑ Charge Any Additional Fee Required | | 147 | 2,520 | 147 | 2,520 | For filing a request for reexamination | | |
| Under 37 CFR 1.16 and 1.17 | | 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | | |
| Applicant claims small entity status. See 37 CFR 1.27 | | 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | | |
| 2. Payment Enclosed: | | 115 | 110 | 215 | 55 | Extension for reply within first month | | |
| ☐ Check ☐ Credit card ☐ Money ☐ Other Order | | 116 | 400 | 216 | 200 | Extension for reply within second month | | |
| FEE CALCULATION | | 117 | 920 | 217 | 460 | Extension for reply within third month | | |
| BASIC FILING FEE Large Entity Small Entity | | 118 | 1,440 | 218 | 720 | Extension for reply within fourth month | | |
| Fee Fee Fee Fee Description | | 128 | 1,960 | 228 | 980 | Extension for reply within fifth month | | |
| Code (\$) Code (\$) Fee Pai | <u></u> | 119 | 320 | 219 | 160 | Notice of Appeal | | |
| 101 740 201 370 Utility filing fee | _ | 120 | 320 | 220 | 160 | Filing a brief in support of an appeal | | |
| 106 330 206 165 Design filing fee | _ | 121 | 280 | 221 | 140 | Request for oral hearing | | |
| 107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee | _ | 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | | |
| 114 160 214 80 Provisional filling fee | | 140 | 110 | 240 | 55 | Petition to revive – unavoidable | | |
| | | 141 | 1,280 | 241 | 640 | Petition to revive - unintentional | | |
| SUBTOTAL (1) (\$)0 | | 142 | 1,280 | 242 | 640 | Utility issue fee (or reissue) | | |
| 2. EXTRA CLAIM FEES | - | 143 | 460 | 243 | 230 | Design issue fee | | |
| Extra Fee from Fee | | 144 | 620 | 244 | 310 | Plant issue fee | | |
| Claims below Paid | <u></u> | 122 | 130 | 122 | 130 | Petitions to the Commissioner | | |
| Total Claims | \dashv | 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17 (q) | | |
| Multiple | _ | 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | | |
| Dependent X = 0 | | 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | | |
| Fee Fee Fee Fee Description | | 146 | 740 | 246 | 370 | Filing a submission after final rejection (37 CFR § 1.129(a)) | | |
| 103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 | ı | 149 | 740 | 249 | 370 | For each additional invention to be examined (37 CFR § 1.129(b)) | | |
| 104 280 204 140 Multiple dependent claim, if not page | nid | 179 | 740 | 279 | 370 | Request for Continued Examination | | |
| 109 84 209 42 ** Reissue independent claims ov original patent | er | (RCE) | | | | , | | |
| 110 18 210 9 ** Reissue claims in excess of 20 over original patent | and | 169 | 900 | 169 | 900 | Request for expedited examination of a design application | | |
| SUBTOTAL (2) (\$) 0 | | Other fe | e (specif | y) | _ | | | |
| **or number previously paid, if greater; For Reissues, see above | | | | | _ | | | |
| | | *Reduc | ed by Ba | isic Filin | g Fee P | aid SUBTOTAL (3) (\$) 13 | 30.00 | |

| SUBMITTED BY Complete (if applicable) | | | | | | |
|---------------------------------------|----------------|----------------------------------|--------|-----------|---------------|--|
| Name (Print/Type) | Sarah A. Kagan | Registration No. Attorney/Agent) | 32,141 | Telephone | 202-508-9100 | |
| Signature | Sono! | Moga | | Date | March 6, 2002 | |



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SUITE 1100

MAR O 0 2002 STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
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APPLICATION NUMBER

BANNER & WITCOFF 1001 G STREET N W

WASHINGTON, DC 20001

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/036,418

01/07/2002

Arthur I. Vinik

005126.00009

CONFIRMATION NO. 4672

FORMALITIES LETTER
OC000000007414245

#4

Date Mailed: 02/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/11/2002 NROCHA1 00000113 190733 10036418

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|----|--------------|---|
| | MAR 0 6 2002 | _ |
| \? | TRADEMARE | 1 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application Of: |) | Group Art Unit: 1645 |
|--------------------------------|---|-------------------------|
| Aaron I. VINIK et al. |) | BOX: MISSING PARTS |
| Serial No.: 10/036,418 |) | D 1 4 N 005106 00000 |
| Filed: January 7, 2002 |) | Docket No. 005126.00009 |
| For: INGAP DISPLACEMENT ASSAYS |) | |

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION UNDER 37 C.F.R. § 1.53(b)

Assistant Director of Patents Washington, D.C. 20231
Attn: Box Missing Parts

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated February 4, 2002, the Applicants hereby submit the executed declaration for the subject application. A corrected Application Data Sheet is also enclosed, along with a Notice of Change of Status.

The Director is authorized to charge \$130.00 to Deposit Account No. 19-0733, to cover the following:

\$130.00 - Surcharge for late declaration.

U.S. Application No. 10/036,418

It is believed that these fees as calculated are correct. However, if an additional fee is required, please charge Deposit Account No. 19-0733.

Respectfully submitted,

March 6, 2002

By:

Sarah A. Kagan

Registration No. 32,141

Banner & Witcoff, LTD. Eleventh Floor 1001 G Street, N.W. Washington, D.C. 2001 (202) 508-9100

IN THE UNITED STATES ATENT AND TRADEMARK OFFICE

| ĺ | MAR 0 6 2002 | | |
|------------------------|--------------|---|--------------------------|
| In re Application Of: | \ |) | Group Art Unit: 1645 |
| Aaron I. VINIK et al. | FRADENMEN 5 |) | BOX: MISSING PARTS |
| Serial No.: 10/036,418 | |) | Darlest No. 005126 00000 |
| Filed: January 7, 2002 | |) | Docket No. 005126.00009 |
| For: INGAP DISPLACEN | MENT ASSAYS |) | |

NOTICE OF CHANGE OF STATUS

Assistant Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

The above-identified application no longer qualifies for the small entity status. Accordingly, please change the status of the above-identified application to large entity.

Respectfully submitted,

Banner & Witcoff, LTD.

Dated: March 6, 2002

By:

Registration No. \$2,141

1001 G Street, N.W., 11th Floor Washington, D.C. 20001-4597

(202) 508-9100

Dated: May 7, 2001